



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application

Guido KERSTEN et al.

Serial Number: 09/917,947

Filed: 31 July 2001

For: BANK NOTE PROCESSING MACHINE AND METHOD FOR OPERATING  
BANK NOTE PROCESSING MACHINE

Examiner: unassigned

Group Art Unit: unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON  
ACCOMPANYING FORM PTO-1449 SUBMITTED FOR CONSIDERATION**

Search report of German Patent Office respecting corresponding German application.

**CONCISE STATEMENT OF RELEVANCY  
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)**

All of the documents mentioned on the accompanying form PTO-1449 are identified in the Search Report of the German Patent Office that was received and which relates to the German patent application corresponding to the present application. The relevancy of the documents is evident from the German Search Report, wherein all of the documents are identified as being in the "X" category (publications placing the novelty or inventive step of the invention in question). An

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English language explanation of the German Search Report is appended to the documents.

**STATEMENT REGARDING TRANSLATIONS**

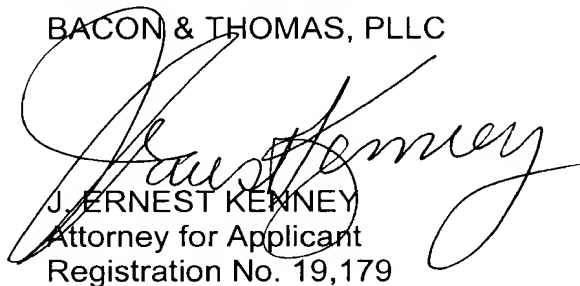
English language translations of the non-English documents are not available to Applicants' attorney.

**STATEMENT OF TIMELINESS**

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicants in the above-identified application.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,  
BACON & THOMAS, PLLC

  
J. ERNEST KENNEY  
Attorney for Applicant  
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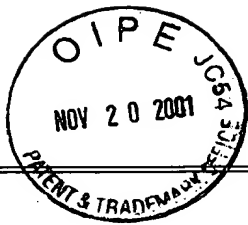
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Date: November 19, 2001

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Sheet 1 of 1

B/O Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	Atty. Docket Number	Serial Number
	KERS3001/JEK	09/917,947
	Applicant	
	Guido KERSTEN et al.	
	Filing Date	Group
	31 July 2001	unassigned

## U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	5,759,102	June 1998	Pease et al.			

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## Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	43 12 894	Oct. 1994	Germany				X
	195 34 528	March 1997	Germany				X
	0 854 456	July 1998	Europe			X	
	0 987 627	Sept. 1998	Europe			X	

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

	FOKES, Don: 8-Bit-Mikrocontroller erlaubt Softwareanderungen uber serielle Schnittstelle. In: Design & Elektronik, 4.4.1989, S. 98, 100, 102, S. 104
	RANKL, Wolfgang, EFFING, Wolfgang: Handbuch der Chipkarten, Carl Hanser Verlag, Munchen, Wien, 2. Aufl., 1996, S. 28 - 35

Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.